



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Castillo et al.

Examiner:

Serial No.: 10/821,250

Group Art Unit: 1646

Filing Date: 04/08/2004

Attorney Docket No.: PROTEO.P03CI2

Title of Invention: Small Peptides for the Treatment of Alzheimer's Disease and Other Beta Amyloid Protein Fibrillogenesis Disorders

Seattle, Washington 98109  
October 7, 2004

Mail Stop MISSING PARTS  
TO THE COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Further to our 04/08/2004 application transmittal, Applicant herewith submits the following items to complete the filing requirements (copy of PTO Notice to File Missing Parts attached as required):

1. Combined Declaration and Power of Attorney signed by each inventor (3 pp);
2. Filing fee (\$385 small entity) and surcharge for deferred filing (\$65 small entity), for a check total of \$450;
3. Sequence ID Listing in paper and Computer Readable Form (CRF);
4. Amendment (7 pp) directing the entry of the Sequence ID Listing into the application.

The submission of the Sequence ID filed herewith in accordance with 37 CFR §1.821(g) does not include new matter. The content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.

**CERTIFICATE OF MAILING (37 CFR 1.8A)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, PO Box 1450, Alexandria, VA 22313-1450.

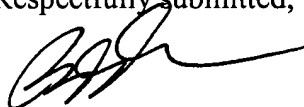
October 7, 2004

  
Patrick Dwyer

1-8PCRT.INS

Having complied with all of the filing requirement of 37 CFR §1.53, Applicant respectfully requests that this application be placed upon the files for examination.

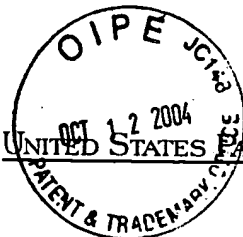
Respectfully submitted,



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/821,250	04/08/2004	Gerardo M. Castillo	PROTEO.P03CI2

CONFIRMATION NO. 8390

## FORMALITIES LETTER



\*OC000000013512800\*

PATRICK M. DWYER  
 PROTEOTECH, INC.  
 SUITE 114  
 1818 WESTLAKE AVENUE N  
 SEATTLE, WA 98109

Date Mailed: 08/12/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/13/2004 RHEBRAHT 00000074 10821250

FILED UNDER 37 CFR 1.53(b)

01 FC:2051 65.00 OP  
 02 FC:2001 385.00 OP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE